

Notice of References Cited	Application/Control No. 09/844,198		Applicant(s)/Patent Under Reexamination WILLIS ET AL.	
	Examiner Jocelyn Greimel		Art Unit 3693	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-7,031,937	04-2006	Brown et al.	705/36T
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
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	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	IRS Publication 564, Mutual Fund Distributions (Department of the Treasury, Internal Revenue Service), 1998, www.irs.ustreas.gov
	V	Taming Your Trading Taxes, Business Week Online, http://www.businessweek.com/magazine , April 2001.
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.